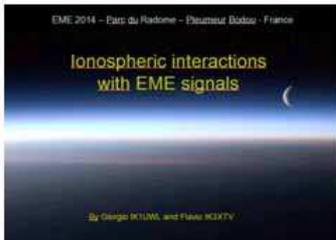


Ch. III - Limits of single polarity antennas in the VHF and UHF bands



Ch. I – 2014
QSB origins
2 m Faraday



Ch. II – 2016
Extension of Excel sheet
to VHF and UHF bands

From studies by
Giorgio IK1UWL and Flavio IK3XTV
EME 2018 – The Netherlands



This is the third chapter of our analyses of the effects on EME signals of ionosphere transit. We started with a research of QSB origins by researching ionospheric meteorology to understand causes of ionospheric turbulence which can affect signals going through it. At the same time we developed an Excel sheet capable to calculate Faraday effects for couples of stations during a full Moon pass. In Chapter I we limited results to the 2 m band. Since Faraday rotation is inversely proportional to the square of frequency, in Chapter II we analyzed what happens to the same couples of stations on different VHF and UHF bands. Chapter III intends to analyze qso probability in VHF and UHF bands for stations with linearly polarized antennas, and the effects of shifting Spatial Offset. We added some info on Moon reflection.

Slide 2

Chapter III – Single polarity antennas, Summary

- Moon reflection, depolarization
- Faraday and Spatial Offset
- From simple polarity curves to QSOs
- Two way probability for single polarity antennas in V/UHF bands
- Shifting Spatial Offset by rotation of antenna

We will start by analyzing Moon reflection, and its eventual effects on depolarization.

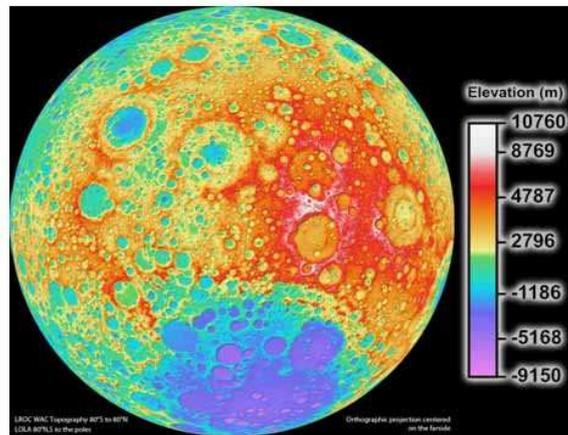
We will recall the main characteristics of the Faraday effect and of Spatial Offset.

We will show polarity curves for both ways so to be able to analyze a qso and the decode probability for single polarity antennas, including the effect of mechanical axial rotation of the antenna.

Slide 3

Earth > Moon

- A wave crosses the ionosphere illuminating the Moon, and gets partially reradiated by the Moon's surface.
- The Moon is spherical and its surface is rough at radio wavelengths.
- There are a large number of scattering areas simultaneously contributing to the signal.
- The lunar surface is therefore a very poor reflector of radio waves.



Part of the wave radiated towards the Moon illuminates it and gets partially reflected. The image shows the altimetry distribution of Moon's ground, from peaks 10 km high (white, red) to depths of -9 km (blue, pink).

There is not a single reflecting area, but a large number of scattering areas, all contributing to the reflected signal.

Slide 4

Radar studies of the Moon

Table by NASA – Feb. 1973

1st EME

Wave-length, cm	$\rho_s N$	Author of Experiment	Author of Processing	Year of Measurement
0.86	0.035	Linn	Evans and Hagfors [108]	1961
3.2	< 0.1	Kobrin	Kobrin [31]	1957
3.6	0.035	Morrow	Girand [116]	
3.6	0.055	Evans and Pettengill	Evans and Hagfors [108]	1963
10	< 0.1	Kobrin	Kobrin [31]	1954
10	0.038	Hughes	Girand [116]	1961
58	0.065	Pettengill	Evans and Hagfors [108]	1960
68	0.057	Pettengill	Rea et al. [157]	1960
73	0.07	Fricker et al.	Fricker et al. [111]	1960
75	0.1	Leadbrand	Pettengill [154]	1959
150	0.1	Trexler	Trexler [70]	1958
250	0.1	Evans	Evans [80]	1957
300	0.1	Evans	Evans et. al. [59]	1959
1130	0.125	Davis and Rohlfis	Davis and Rohlfis [103]	1964
1920	0.15	Davis and Rohlfis	Krupenio [40]	1964

1960 on 1296 MHz
1964 on 144 MHz
1964 on 432 MHz
1970 on 2,3 GHz
1972 on 50 MHz
1987 on 3,4 GHz
1987 on 5,6 GHz
1988 on 10 GHz
2001 on 24 GHz
2005 on 47 GHz
2005 on 28 MHz
2009 on 70 MHz

Approximately in the sixties there was an interest in finding out if communication via the Moon as passive reflector, was possible. These researches were conducted on many different frequencies and the amount of energy reflected was also measured.

In the same years also amateurs started trying to exploit the Moon for world coverage on VHF and up.

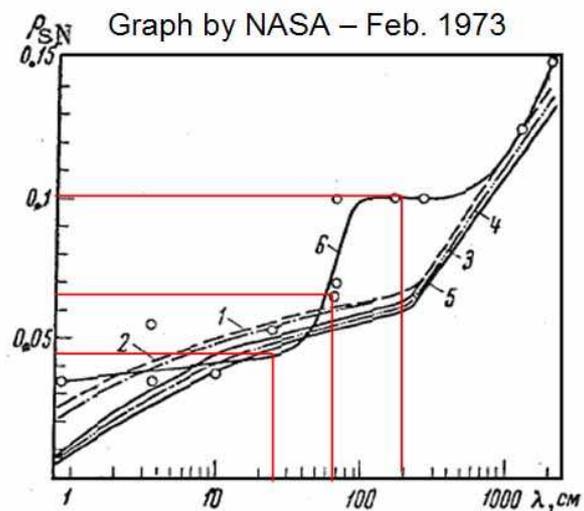
The table on the right shows the year in which the first qso was made for which band.

Slide 5

Reflection coefficient

- Is the diffuse reflectivity, or reflecting power of a surface
- It is the ratio of reflected radiation from the surface to incident radiation upon it.
- Average values at:

2 m	0,1
70 cm	0,065
23 cm	0,045



This is a graph of reflection coefficients from the above radar experiments. From which we gather that the amount reflected as a function of frequency is:

2 m	0,1
70 cm	0,065
23 cm	0,045

This percentage regards only the wave illuminating the Moon, not the total wave emitted.

For example: lets consider an antenna with a beam width of 5° .

The Moon, seen by Earth, is $0,5^\circ$ wide

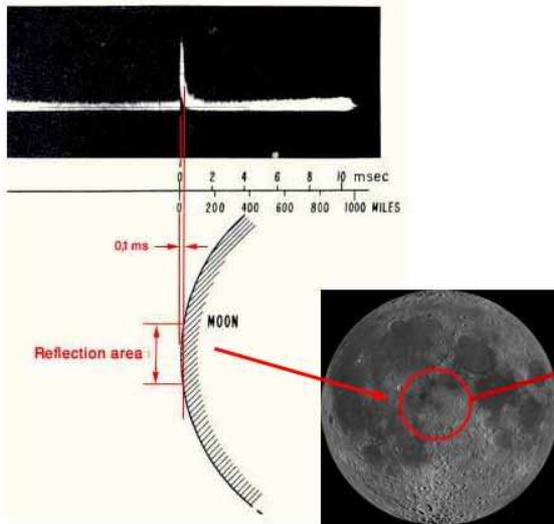
This means that only $(0,5/5)^2 = 1\%$ illuminates the Moon.

So, with 10% reflection of this 1%, only 1/1000 of the wave is reflected.

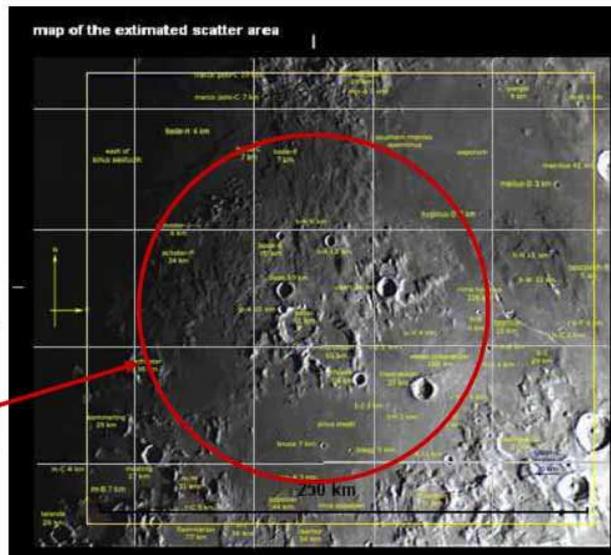
Slide 6

Scattering area in the Lunar surface

- Moon echoes observed by Trexler (1958): most of the power in the reflected signal arises from scatterers lying near the center of the visible disk.



Trexler (1958), Moon Echoes compared to scale with curvature of the Moon



Evans – Radio Communication via the Moon

In the Moon echoes observed by Trexler (1958) most of the power in the reflected signal arises from scatterers lying near the center of the visible disk.

From the figure on the right you can see the type of surface from which the wave is scattered.

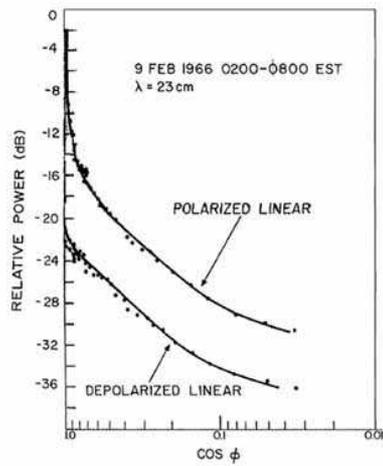
Moon's surface

- Moon' soil is porous, and is penetrated by an electromagnetic wave.
- This results in backscattering with different phases.
- Also mountains and craters generate backscattering.
- The specular portion of the backscatter represents a partially depolarized wave.
- The depolarization increases with frequency.

Slide 8

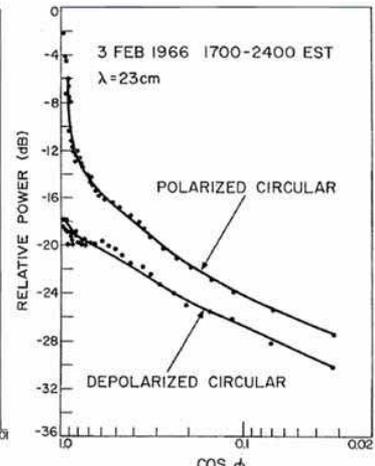
Depolarization at 23 cm

- Measured with Millstone Hill radar, using Doppler resolution, at 23 cm.
- Depolarization increases with frequency.
- From studies by G3WDG (Venice Conf. 2016), depolarization with CP is greater than LP by 2 dB at 3 cm



LINEAR TRANSMITTED AND RECEIVED
Plot of polarized and depolarized components against $\cos \phi$, for linearly polarized illumination

Linear depolarized component -20 dB



CIRCULAR TRANSMITTED AND RECEIVED
plot of the polarized and depolarized circular components for circular polarization transmitted. Power in dB against $\cos \phi$ being angle of incidence

Circular depolarized component -18 dB

From "A Study of the Depolarization of Lunar Radar Echoes – Tor Hagfors – MIT"

From tests made with the Millstone Hills radar at 23 cm, the amount of depolarization was measured both for a linearly polarized wave and for a circularly polarized wave.

This depolarization is practically negligible in the VHF bands, but becomes increasingly higher in the microwave bands.

From studies by G3WDG (Venice Conf. 2016), depolarization with CP is greater than LP by 2 dB at 3 cm, same as shown by the above graphs.

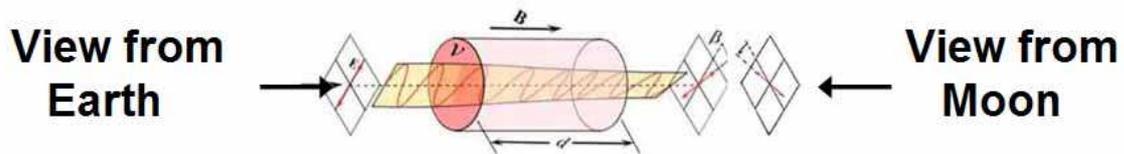
Slide 9

Moon > Earth

- The wave reradiated from the Moon, crosses again the ionosphere getting again rotated by the Faraday effect.
- And it is received by an antenna with different spatial orientation from the transmitting one (Spatial Offset).
- The echo is received with polarity sum of two parameters, Faraday and Spatial Offset

Slide 10

Faraday effect



- Geomag. component \longrightarrow
- Wave starts CW \longrightarrow Wave arrives CCW
- Eco arrives CW \longleftarrow Eco starts CCW
- Rotations are added.
- Also self echoes are subject to Faraday.

When a wave traverses a plasma which is also immersed in a magnetic field, the plane of polarization rotates. When the magnetic field component is in the same sense of the wave motion, the rotation, seen from the origin of the wave, is clockwise.

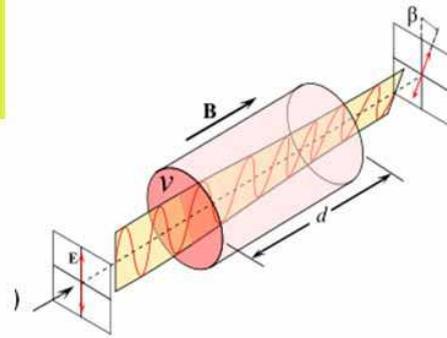
Seen from the point of arrival, the rotation is counterclockwise.

If the wave is now reflected and returns back in the opposite sense of the magnetic field component, it will be seen rotating counterclockwise from the reflection point, and will be seen rotating clockwise from the arrival point.

The above figure is a summary of these concepts.

Slide 11

Faraday rotation



$$\square \Phi = \frac{k}{f^2} * \overset{\text{Geomag. field}}{F * \cos FM} * \overset{\text{Electron content}}{k_s * VTEC}$$

- $k = 2,36 * 10^{16}$

- $f =$

50 MHz	144 MHz	432 MHz	1296 MHz
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- $k/f^2 =$

9,46	1,14	0,127	0,012
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Faraday rotation is proportional to the magnetic field component and to the amount of plasma traversed. The formula gives the average rotation value, since the ionosphere is turbulent., which cause short term variations of plasma density.

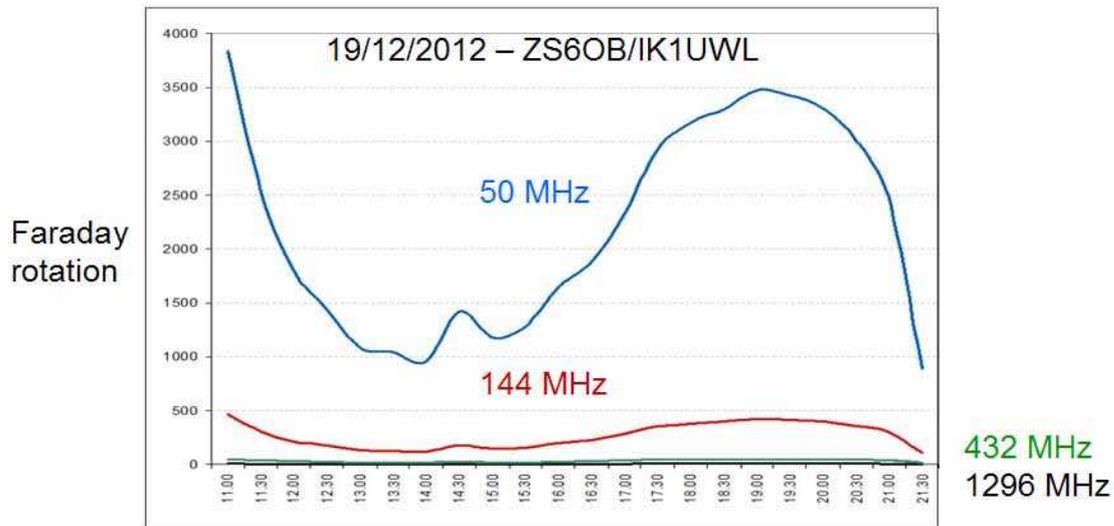
The turbulence is also the cause of short term QSB.

The coefficient of the formula contains the inverse square of the frequency.

So it decreases to about 1/9 for each successive band.

Slide 12

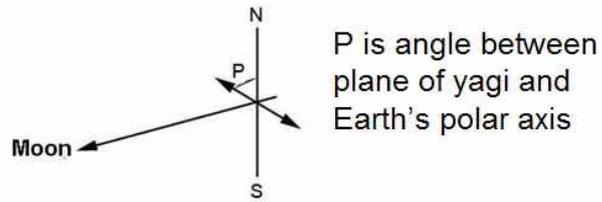
Faraday in VHF and UHF



- The K/f^2 coefficient determines rotations of thousands of degrees on 50 MHz; hundreds on 144, tens on 432, and is negligible on 1296 MHz and above.

The example shown above is relative to a qso between ZS6OB and IK1UWL in the V/UHF bands.

Spatial Offset



- **P=Polar offset (for horizontal antenna)**
- From a study by N1BUG:
- $P = \arctg((\sin \text{Lat} * \cos \text{EI} - \cos \text{Lat} * \cos \text{Az} * \sin \text{EI}) / \cos \text{Lat} * \sin \text{Az})$
- Independent from frequency.
- Changes during and every Moon pass.
- $-90^\circ < P < 90^\circ$
- Spatial Offset of station 1 versus 2 is $P1 - P2$
- Spatial Offset of station 2 versus 1 is $P2 - P1 = - (P1 - P2)$
- Increases with distance.
- $-180^\circ < \text{Spatial Offset} < 180^\circ$
- Axial rotation of one antenna shifts both polar and spatial offset.

Polar Offset is the angle that the plane of the antenna makes with Earth's axis.

The formula for Polar Offset (of horizontal antennas) is:

$$\text{Polar Offset} = \arctg((\sin \text{Lat} * \cos \text{EI} - \cos \text{Lat} * \cos \text{Az} * \sin \text{EI}) / \cos \text{Lat} * \sin \text{Az})$$

Independent from frequency.

It varies during the Moon's pass; changes every Moon's pass, and with Moon's declination.

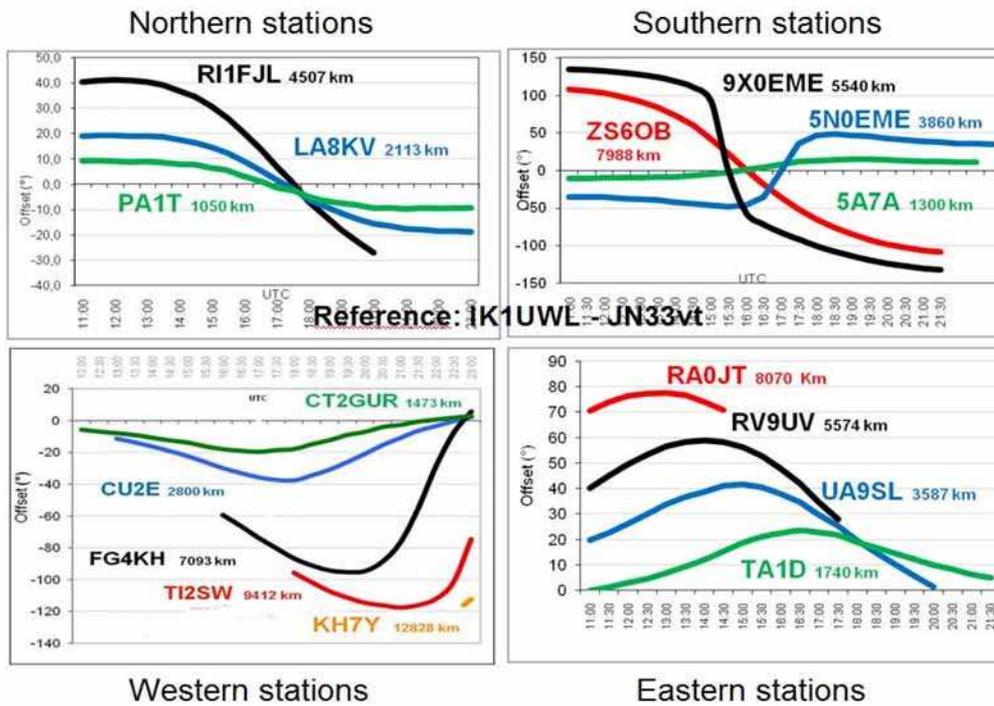
Spatial Offset is the angle between the planes of two antennas, so is the difference $P1 - P2$ of the two polar offsets. It represents the polarization difference when receiving directly a wave from the other station.

Spatial Offset of the second station respect the first has the same value but opposite sign.

Max value of Spatial Offset increases with increasing distance between stations.

Axial rotation of one antenna adds a constant to both polar and spatial offsets, shifting them by the rotation amount.

Spatial Offset vs. distance and direction



- Note: Graphs calculated for declination 1°

This is an overview of how Spatial Offset behaves, as a function of distance and direction. All graphs are for declination $+1^\circ$, same date.

These graphs comes from our preceding presentations.

They show that maximum values increase with distance between the stations.

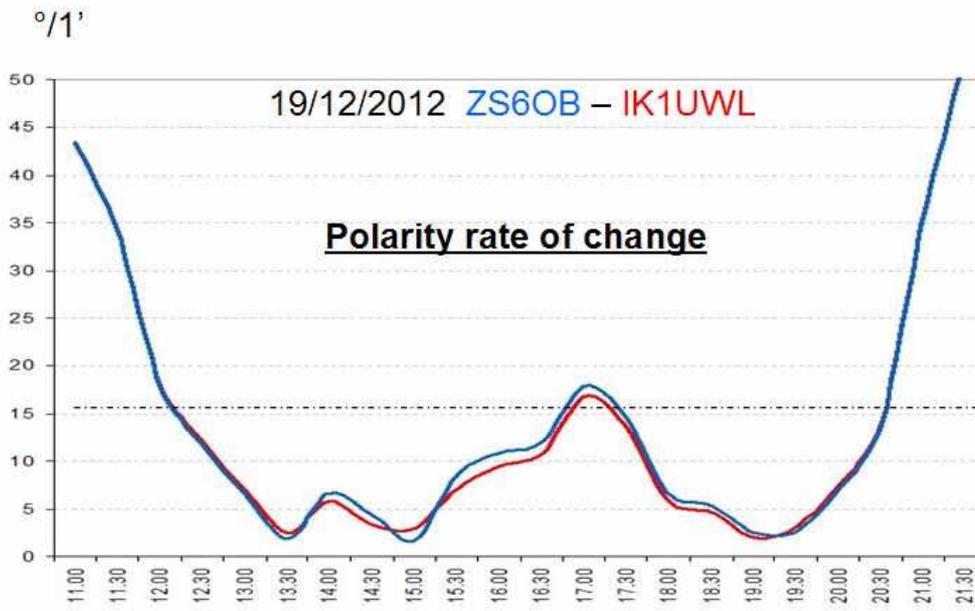
As a very rough rule of thumb, max offset is in the order of 1% of the distance

Offset changes slowly during the Moon pass.

Decodability in VHF

- Linearly polarized antennas cannot receive orthogonal signals.
- Faraday and Spatial Offset cause multiple turn rotation, so it happens many times during a Moon pass
- There are No Decode or One Way situations.
- Polarity Rate of Change determines duration of these negative situations.

50 MHz band



- With JT65 one needs 6 minutes for a complete qso.
- Rate of change of $15^{\circ}/1'$ equals 90° in 6'.
- With this value and above, qso cannot be completed in 6'.
- Under this value, qsos are less troublesome (but never easy).

The 6 m “magic band” is peculiar in many ways. Positive aspects are Es multihop events every summer, and in meteor scatter you see pings several seconds long.

In EME, there is a negative aspect.

Faraday rotates so quickly that polarity can pass from H to V in minutes.

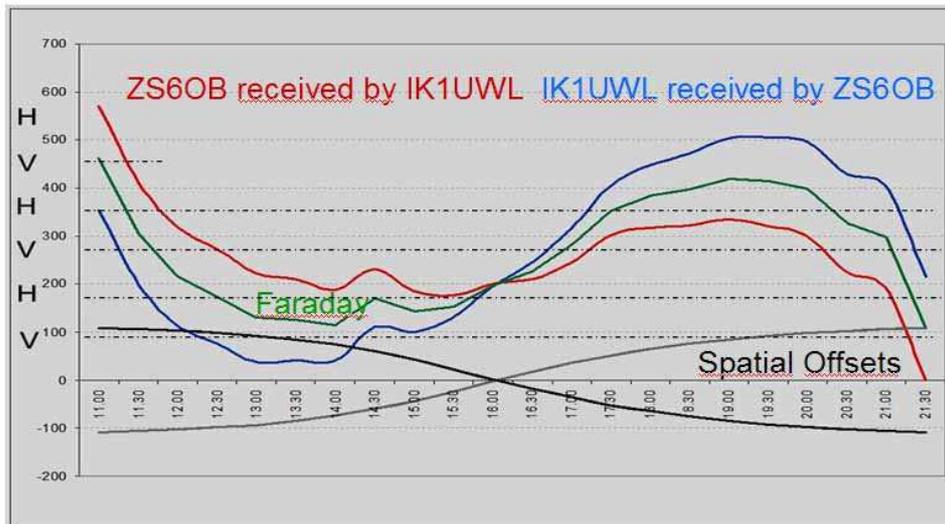
The above graph represents the rate of change of polarity during a Moon's pass.

15 degrees per minute mean 90° in 6 minutes; the time for a normal digital qso.

At this level, and above, there will be discontinuities in the decodes, and qsos will be longer than normal.

Also under this level there is a high probability of V pol. happening during a single qso.

2 way Pol. in 144 MHz , ZS6OB – IK1UWL



Stations are distant (9000 km), so Spatial Offset is big.

But Faraday is 4 times bigger, so dominates polarity rotation.

Polarity of each station is often H or V, but not at the same time.

We here show what happens both ways.

Graph easy to build with small additions to the excel sheet.

Faraday is the same for both station.

Spatial Offset just reverses sign when calculated for the second station.

Black curve refers to offset of ZS6OB respect IK1UWL,

Gray curve is vice versa the offset of IK1UWL respect ZS6OB.

So polarity of the received signal is the sum of the common Faraday curve and of the respective Spatial Offset.

What can we get from this graph?

First thing to notice is that signals are H or V at different times, so we need to define a criterion for decodability.

Let's examine the conditions in which signals are probably not decodable.

No Decode range for single polarity antennas

- Polarity α has Degradation = $20 \cdot \log \cos \alpha$ (dB)
- $\alpha = 45^\circ$ Degr. 3 dB
- $\alpha = 60^\circ$ “ 6 dB
- $\alpha = 75^\circ$ “ 12 dB
- $\alpha = 90^\circ$ “ $\gg 20$ dB
(or about that value in microwaves, due to depolarization)
- Polarities ranging between 75° and 105° have a very low probability of decode.
- Unfavorable range is **30°** wide.

For single polarity antennas, degradation of a signal, received rotated respect the antenna's plane, is $20 \cdot \log \cos \alpha$ (dB). See some cases above.

Note: at $\alpha = 90^\circ$ degradation should be infinite, but some depolarization gets into play in general, so, practically, it is usually very high but not infinite.

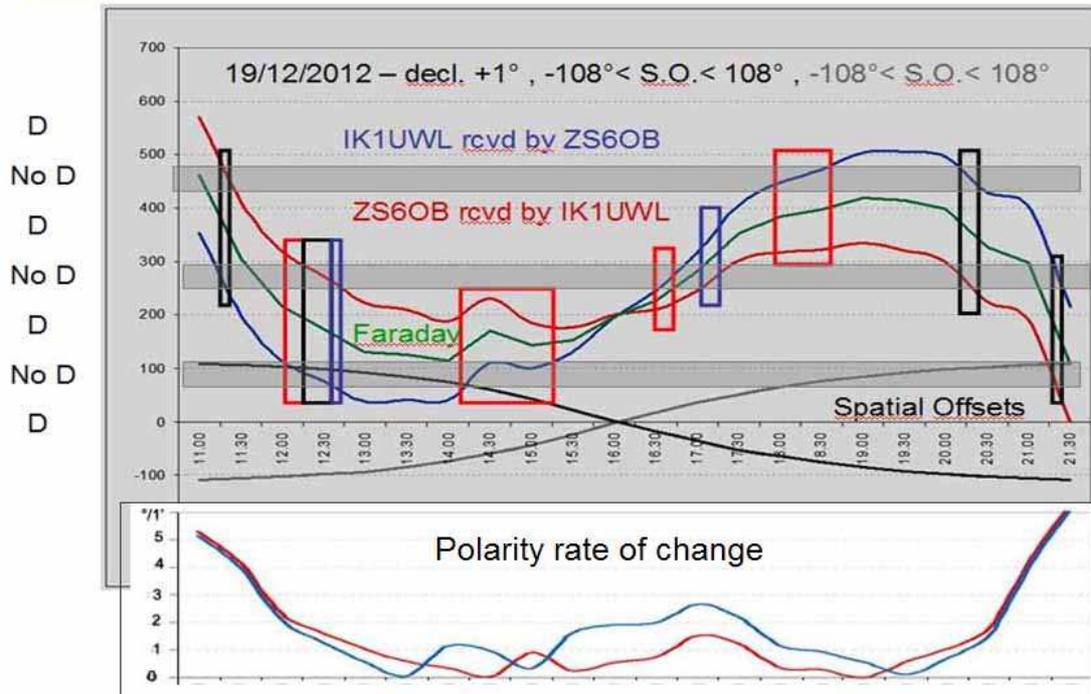
In digital qos between average stations, when polarity is favorable, typical signal levels are around -16,-20 dB.

In these cases a degradation of 12 dB would shit these levels to -28 to -32 dB, and becomes the start of a no-decode situation.

So lets consider the polarity range of $75^\circ - 105^\circ$ (30° wide) as NO DECODE due to 12 dB degradation.

Every 180 degrees the situation repeats, so also $255^\circ - 285^\circ$ etc. are no-decode ranges.

QSO in 144 MHz , ZS6OB – IK1UWL



- **30°** with $5^{\circ}/1'$ = 6', with $2^{\circ}/1'$ = 15', with $1^{\circ}/1'$ = 30'
- Frequent no D and 1 way.
- 2 way decodes 67% of moon pass

Instead of lines for H and V, lets insert bands 30° wide at the V positions.
 When only one of the stations falls in these bands there are 1 way conditions.
 When both stations fall in these bands we have No Decode (black rectangles).
 In one way cases, the color of the rectangle indicates which station is decodable.
 Under the polarity graph we have added another graph: Rate of Change (in °/1')
 When RoC is around $5^{\circ}/1'$, the 30° band is traversed in 6 minutes.
 With RoC about $2^{\circ}/1'$ we have longer negative periods, around 15 minutes.
 And we see a 1 hour one way when RoC of ZS6OB remains very low.
This Moon pass is typical for 2 m: many short 1W or No D periods.
 Overall two way decodability exists for about 67% of the Moon pass.
 Lets now move to the UHF bands..

Decodability in UHF

- 432 MHz:
- For near stations (less than 3000 km), decodes generally happen on majority of Moon pass.
- The situation changes when Spatial Offset becomes important (far stations).

- 1296 MHz and above:
- Faraday negligible. Only Spatial Offset counts.

- In UHF, shift of Spatial Offset, by axial rotation of antenna, can solve No Decode periods.

On 432 MHz Faraday can push polarities into No-Decode range, also with low values of Spatial Offset (near stations).

For far stations S.O. generally causes no decodes for part of the Moon pass.

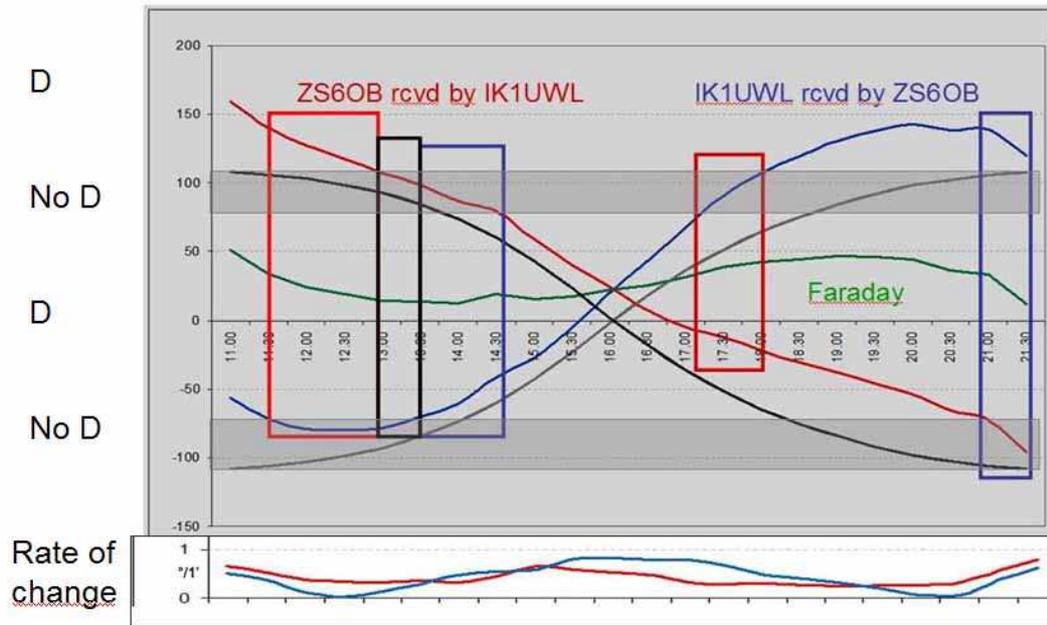
On 1296 MHz Faraday is very low, and coupled with low Spatial Offset leaves polarity always in Decode range for near stations. Not so for far stations.

Rotation of one of the sources (yagi or feed) can shift Spatial Offset and therefore polarity out of No-Decode range (see examples later).

Lets examine a case of distant station: ZS6OB is about 8000 km away from IK1UWL.

Slide 21

QSO in 432 MHz , ZS6OB – IK1UWL



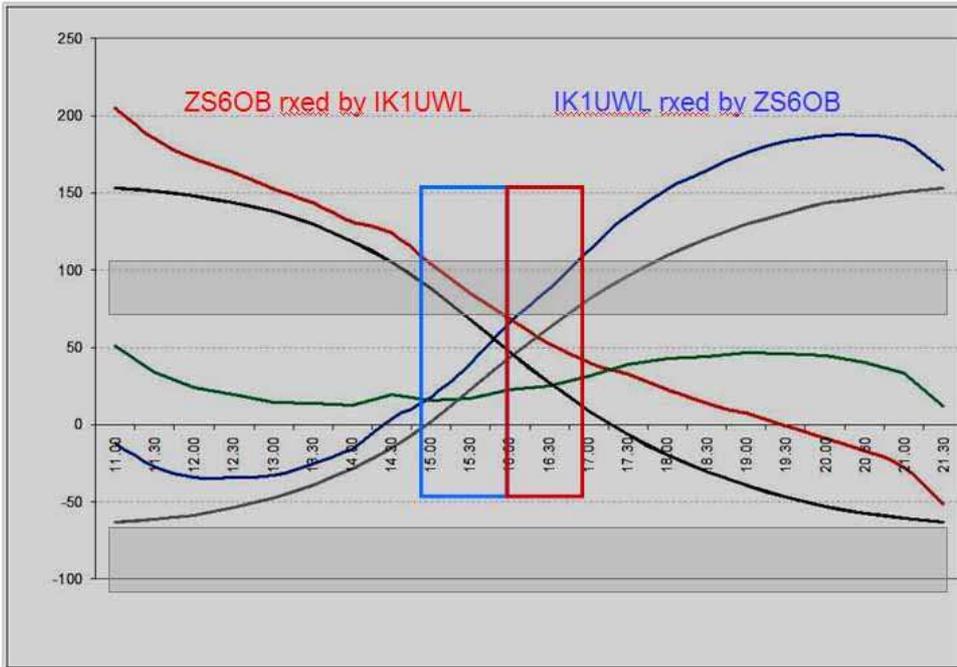
Polarity depends 70% from S.O. and 30% from Faraday.
Rate of change is around $0^{\circ},5/1'$ so 30° over 1 h.
Few 2 way periods, 53% of moon pass.

On 70 cm Faraday is generally many tens of degrees.

So, if the distance between stations is greater than 4000/5000 km approx., Spatial Offset surely pushes the rx polarity in the no-decode ranges. Spatial Offset varies very slowly, and from the graph of RoC we see rates from $0^{\circ},5/1'$ to $1^{\circ}/1'$, which means long One Way or No D periods, between 30' and 1 hour.

These can happen one after the other, so in this case we have one 3 hour negative period. Overall two way decodability exists for 53% of the Moon pass.

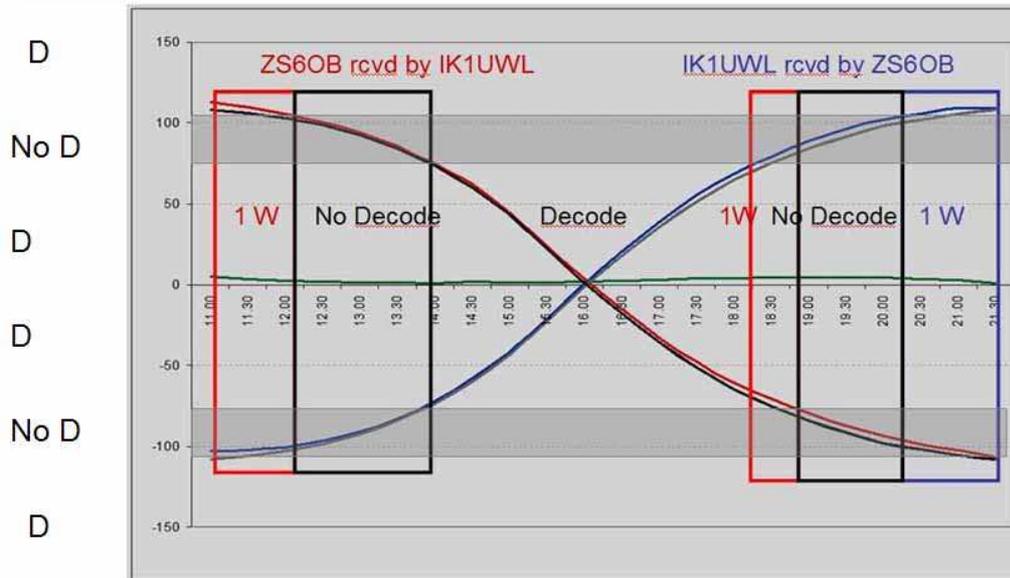
QSO in 432, ZS6OB rotates yagi 45°



- Shift of Spatial Offset gets only 20% of Moon pass in No Decode range, at a different time.

If one of the stations rotates the antenna (or the dish feed) along its axis, the Spatial Offsets between the stations shifts by the same amount of the mechanical rotation. And the time period in which polarity is in the No Decode range changes completely. The timely use of this method during the Moon pass allows 100% decodability.

QSO in 1296 MHz , ZS6OB – IK1UWL



- Faraday negligible.
- Rate of change governed by S.O., which varies slowly.
- Only stations distant less than 5000 km avoid No D range
- For this qso: 2 way period only 40% of moon pass.

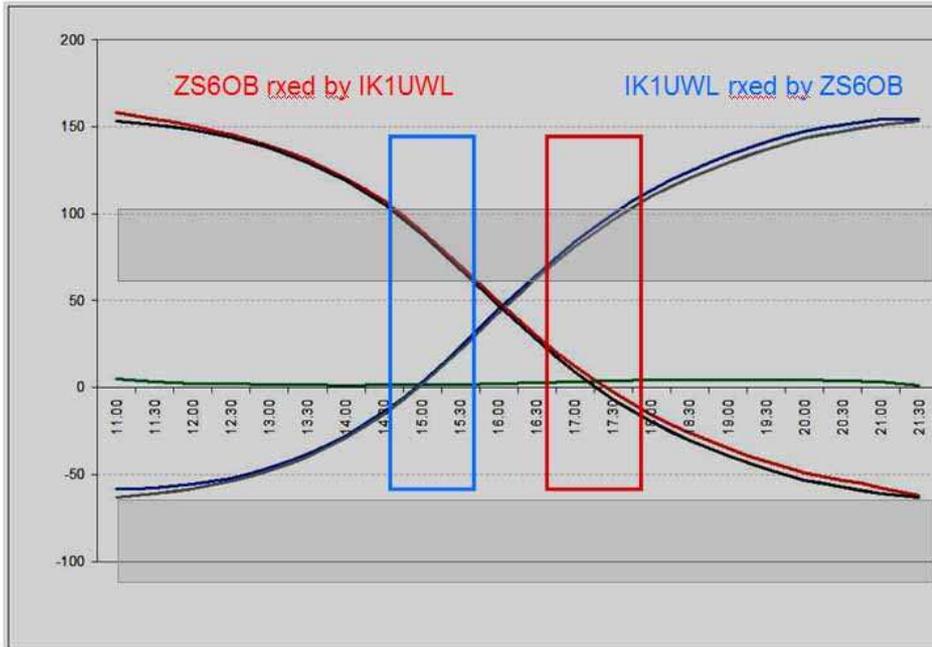
With Faraday rotation in the order of some degrees, rx polarity is defined practically only by the Spatial Offset. And with distance between stations giving maximum Spatial Offset greater than 75° , this offset pushes polarity in the no-decode range for 60% of pass. 2 way decodability happens only in 40% of Moon's pass.

With distances between stations decreasing towards 4000-5000 km, the time period in which Spatial Offset gets polarities in the no-decode range gradually decreases.

Near stations have Spatial Offsets that do not reach the No Decode bands, so they have 100% decodability during the Moon pass.

Slide 24

QSO in 1296, ZS6OB rotates yagi 45°



- Change of Spatial Offset (rotating one yagi) changes radically time and duration of No Decode periods.

As shown for the 432 MHz band, rotation of source polarity of one of the stations gives the desired shift of Spatial Offsets.

So the time period in which polarity is in the No Decode range changes completely. The timely use of this method allows 100% decodability over the Moon pass, also to distant stations.

EME with single polarity antennas

- **50** : High polarity rate of change causes discontinuities during qso.
- **144** : Faraday dominates; polarity changes quickly.
2 way on 70-80% of the Moon pass.
- **432** : 2/3 Spatial Offset 1/3 Faraday.
Polarity changes more slowly.
2 way on 50-70 % of Moon pass.
Shift of Spatial Offset by source rotation gives important changes.
- **1296 and up**: 99% Spatial Offset, Faraday insignificant.
Polarity changes very slowly.
Shift of Spatial Offset by source rotation is very effective.
2 way on 40-50% of Moon pass for distant stations becoming gradually 100% for near stations.